### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98

Sheet 1 of 4

ATTORNEY DOCKET NO.: 38203-6082B

SERIAL NO.: 10/775,718

APPLICANTS: Smith et al

FILING DATE: 02/09/04

GROUP ART UNIT: Unknown 2876

_~	TRADELAN		· U	S. PATENT DOCUMENTS		
† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
KCK		4,757,207	07/12/88	. Chappelow et al	250/491.1	3/3/87
KCK		4,861,148	08/29/89	Santo et al.	350/505	3/11/87
KCK		4,929,083	05/29/90	Brunner	356/123	3/20/89
KCK		5,124,927	06/23/92	Hopewell et al	250/491.1	3/2/90
KCK		5,262,257	11/16/93	Fukuda et al	250/492.2	1/11/93
KCK		5,285,236	02/08/94	Jain	355/53	9/30/92
KCK		5,438,413	08/01/95	Mazor et al.	356/363	3/3/93
KCK		5,444,538	08/22/95	Pellegrini	356/401	3/10/94
KCK		5,477,058	12/19/95	Sato	250/548	11/9/94
KCK		5,700,602	12/23/97	Dao et al	430/22	10/30/95
KCK		5,757,507	05/26/98	Ausschnitt et al.	356/401	11/20195
KCK		5,805,290	09/08/98	Ausschnitt et al	256/401	5/2/96
KCK		5,824,441	10/20/98	Farrow et al	430/22	12/3/96
Kek		5,877,861	- 03/02/99 -	Ausschnitt et al.	356/401	11/14/97
Kek		5,953,128	09/14/99	Ausschnitt et al	250/548	8/28/97
KCK		6,023,338	02/08/00	Bareket	356/401	7/12/96
KCK		6,064,486	05/16/00	Chen et al	356/399	5/21/98
KIK		6,079,256	06/27/00	Bareket	73/105	12/7/98
KCK		6,130,750	10/10/00	Ausschnitt et al	356/401	8/28/97
KCK		6,137,578	10/24/00	Ausschnit	356/399	6/28/98
KCK		6,142,641	11/07/00	Cohen et al	359/731	6/18/98
KCK		6,143,621	11/07/00	Tzeng et al.	438/401	6/14/99
KUK		6,153,886	11/28/00	Hagiwara et al	250/548	9/28/99
KCK		6,163,366	12/19/00	Okamoto et al	355/53	1/12/97
KCK		6,204,912	03/20/01	Tsuchiya et al	355/53	5/8/97
KCK		6,218,200	04/17/01	Chen et al	356/399	7/14/00
KUK		6,417,929	07/09/02	Ausschnitt et al	056/634	11/20/00

**EXAMINER'S SIGNATURE** 

Kumiko C. Koyama

DATE CONSIDERED

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

# UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

Sheet 2 of 4

ATTORNEY	DOCKET	NO.
38203-608	32B	

SERIAL NO.: 10/775,718

APPLICANTS: Smith et al

FILING DATE: 02/09/04

GROUP ART UNIT: Unknown 2876

			FOR	REIGN PATENT DOCUMENTS	
†EX'R INITIAL	*REF. #				TRANSLATION (YES/NO)
			N/A	N/A	
					<u> </u>
	_			OTHER DOCUMENTS	
† EX'R INITIAL	*REF. #		CITATION (A	outhor, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)	
KCK		Armitage Jr., J.D. a	nd Kirk, J.P., "/	Analysis of overlay distortion patterns", SPIE, 921:207-222, (1	988)
KCK		Biesterbos et al., "A new lens for aubmicron lithography and its consequences for wafer stepper design", SPIE, 633:34-43, (1986)			
KEK		Bjorkholm et al., "Reduction imaging at 14 nm using multilayer-coated optics: printing of features smaller than 0.1 ųm", J. Vac. Sci. Technol.B., 8(6):1509-1543, (1990)			
KCK		Bruning et al., "Optical Lithography – Thirty years and three orders of magnitude", SPIE, 3051:14-27, (1997)			
KCK		Cote <i>et al.</i> , "Micrascan™ III-performance of a third generation, catadioptric step and scan lithographic tool", <i>SPIE</i> , <u>3051</u> :806-816, (1997)			
KCK		DeJule, R., "Mix-and Match: A Necessary Choice", Semiconductor International, 23(2): 66-76, (Feb, 2000)			
KCK	ė.	Dooly, T. and Yang, Y., "Stepper matching for optimum line performance", SPIE, 3051:426-432, (1997)			
KCK		Goodwin, F. and Pellegrini, J.C., "Characterizing Overlay Registration of Concentric 5X and 1X Stepper Exposure Fields using Interfield Data", SPIE, 3050:407-417, (1997)			
Kek		Goodwin, F., "Expar 97-106, (June 2000)		es in existing fabs with lithography tool-matching", Solid State	Technology,
KcK		Handbook of Microli Choudhury, P. (Ed.)	thography, Mic , SPIE Optical	cromachining, and Microfabrication, Book: Vol. 1, "Microlithogo Engineering Press, SPIE, Bellingham, Washington, pages 41	raphy", Rai- 7-418, (1997)
KK				l Measurements of Registration Errors in Step-and-Repeat op sactions on Electron Devices, ED27(12):2304-2312, (1980)	tical
KLK		Kenp <i>et al.</i> , "A "gold (1991)	en standard" w	vafer design for optical stepper characterization", SPIE, 1464::	260-266,
KCK		KLA 5105, "Linewidt Corporation, 2 page	th and Misregis s, (1995)	stration System", KLA 5105 Product Specifications, KLA Instru	ments
KCK		Kodama, K. and Ma	tsubara, E., "N	leasuring system XY-5i", SPIE, <u>2439</u> :144-155, (1995)	

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Kumiko C. Koyama

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98

**PTO-1449 FORM** 

Sheet 3 of 4

ATTORNEY	DOCKET	NO.
38203-608	32B	

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APPLICANTS: Smith et al

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GROUP ART UNIT: Unknown 2876

KLK	Leica LMS IPRO, "Fully automated mask and wafer metrology system", Leica, pamphlet pages 1-5.
KCK	Lin, B.J., "The Attenuated Phase-Shifting Mask", Solid State Technology, Special Series/Advanced Lithography, 35(1):43-47, (January 1992)
KIK	Martin et al., "Measuring Fab Overlay Programs", SPIE, 3677:64-71(1999)
KCK	Mc Fadden, E.A. and Ausschnitt, C.P., "A Computer Aided Engineering Workstation For Registration Control" SPIE, 1087:255-266, (1989)
KUK	Mulkens <i>et al.</i> , "ArF Step And Scan Exposure System For 0.15 μm Technology Node?", <i>SPIE</i> , <u>3679</u> :506-521, (1999)
KeK	Müller et al., "Large Area Fine Line Patterning By Scanning Projection Lithoghraphy", MCM proceedings, pgs. 100-104, (1994)
KCK	Newnam, B.E. and Viswanathan, V.K., "Development of XUV projection lithograph at 60-80 nm", SPIE, 1671:419-436, (1992)
KUC	Nikon Lithography Tool Brochures (Japanese Nikon)
KCK	Numerical Recipes, "The Art of Scientific Computing", Press et al. (Eds.), Cambridge University Press, New York, pages 52-64 (1990).
KCK	Pellegrini, J.C., "Comparisons of Six Different Intrafield Control Paradigms in an Advanced Mix-and-Match Environment", SPIE, 3050:398-406, (1997)
KCK	Pellegrini et al., "Super Sparse Overlay Sampling Plans: An Evaluation of Methods and Algorithms for Optimizing Overlay Quality Control and Metrology Tool Throughput", SPIE, 3677:72-82, (1999)
rcic	Preil, M.E. and McCormack, J.F.M., "A New Approach to Correlating Overlay and Yield", SPIE, 3677:208-216 (1999)
KU	Progler et al., "Method to Budget and Optimize Total Device Overlay", SPIE, 3679:193-207, (1999)
KCK	Quaestor Q7, "Fully Automated Optical Metrology System for Advanced IC Production", Quaestor Q7 Product Specification, BIO -RAD, 2 pages
KIK	Raugh, M.R., "Error estimation for lattice methods of stage self-calibration", SPIE, 3050:614-625, (1997)
CU	Starikov et al., "Accuracy of overlay measurements: tool and mark asymmetry effects", Optical Engineering, 31(6):1298-1310, (1992)
KUK	Sullivan, N.T., "Semiconductor Pattern Overlay", SPIE Critical Reviews of Optical Science and Technology, CR52:160-188, (1994)
KCK	Takac et al., "Self-calibration in two-dimensions: the experiment", SPIE, 2725:130-146, (1996)

Kumiko C. Royame 11/9/04	EXAMINER'S SIGNATURE	Kumiko C.	Koyamı	DATE CONSIDERED
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98 **PTO-1449 FORM** 

Sheet 4 of 4

ATTORNEY	DOCKET	NO.:
38203-608	32B	

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GROUP ART UNIT: Unknown 2876

KCK	v.d. Brink et al., "Direct-referencing automatic two-points reticle-to-wafer alignment using a projection column servo system", SPIE, 633:60-71, (1986)
KUK	van den Brink et al., "Matching Management Of Multiple Wafer Steppers Using A Stable Standard And A Matching Simulator", SPIE, 1087:218-232, (1989)
KCK	van den Brink <i>et al.</i> , "Matching Of Multiple Wafer Steppers For 0.35 μm Lithography Using Advanced Optimization Schemes", <i>SPIE</i> , 1926:188-207, (1993)
KCK	van den Brink et al., "Matching Performance For Multiple Wafer Steppers Using An Advanced Metrology Procedure", SPIE, 921:180-197, (1988)
KUC	van den Brink et al., "New 0.54 Aperture i-Line Wafer Stepper With Field By Field Leveling Combined With Global Alignment", SPIE, 1463:709-724, (1991)
KCK	van den Brink et al., "Step-And-Scan And Step-And-Repeat, A Technology Comparison", SPIE, 2726:734-753, (1996)
KU!	van Schoot et al., "0.7 NA DUV Step & Scan System For 150nm Imaging With Improved Overlay", SPIE, 3679:448-463, (1999)
KU	Yost, A. and Wu, W., "Lens matching and distortion testing in a multi-stepper, sub-micron environment", SPIE, 1087:233-244, (1989)
KUK	Zavecz et al., "Life Beyond Mix-and-Match: Controlling Sub-0.18 μm Overlay Errors", Semiconductor International, 23(8):205,206,208,210,212 and 214, (July, 2000)
Kek	Zavecz, T.E., "Machine Models and Registration", SPIE Critical Reviews of Optical Science and Technology, CR52:134-159 (1994).

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